

# Separating Double-Beta Decay Events from Solar Neutrino Interactions in a Kiloton-Scale Liquid Scintillator Detector By Fast Timing

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## Abstract

We present a technique for separating nuclear double beta decay ( $\beta\beta$ -decay) events from background neutrino interactions due to  ${}^8\text{B}$  decays in the sun. This background becomes dominant in a kiloton-scale liquid-scintillator detector deep underground, and is usually considered as irreducible due to an overlap in deposited energy with the signal. However, electrons from  $0\nu\beta\beta$ -decay often exceed the Cherenkov threshold in liquid scintillator, producing photons that are prompt and correlated in direction with the initial electron direction.. The use of large-area fast photodetectors allows some separation of these prompt photons from delayed isotropic scintillation light, and thus the possibility of reconstructing the event topology. Using a simulation of a 6.5 m radius liquid scintillator detector with 100 ps resolution photodetectors, we show that a spherical harmonics analysis of early-arrival light can discriminate between  $0\nu\beta\beta$ -decay signal and  ${}^8\text{B}$  solar neutrino background events on a statistical basis. Good separation will require the development of a slow scintillator with a 5 nsec risetime.

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## 43 1. Introduction

44 The electron, muon, and tau neutrinos are unique among the standard model fermions in be-  
45 ing electrically neutral and orders-of-magnitude less massive than their standard model charged  
46 partners [1]. These two properties motivate the possibility that these neutrinos are ‘Majorana’  
47 rather than ‘Dirac’ particles, i.e. different from their respective charged partner leptons by being  
48 their own anti-particle [2, 3]. In 1939 W. Furry pointed out that a Majorana nature of the electron  
49 neutrino would allow neutrinoless double-beta decay, in which a nucleus undergoes a second or-  
50 der  $\beta$ -decay without producing any neutrinos,  $(Z, A) \rightarrow (Z + 2, A) + 2\beta^-$  [4]. This is in contrast  
51 to the Goepfert-Mayer two-neutrino double beta ( $2\nu\beta\beta$ ) decay, the second order Standard Model  
52  $\beta$ -decay channel in which lepton number is conserved by the production of two anti-neutrinos,  
53  $(Z, A) \rightarrow (Z, A + 2) + 2\beta + 2\bar{\nu}_e$  [5].

54 The standard mechanism of  $0\nu\beta\beta$  decay is parametrized by the effective Majorana mass, de-  
55 fined as  $m_{\beta\beta} \equiv \left| \sum_i U_{ei}^2 m_i \right|$ , where  $U_{ei}$  are the elements of the PMNS matrix and  $m_i$  are the neutrino  
56 masses [3]. Current half-life limits translate to a limit on  $m_{\beta\beta} \lesssim 150 - 700$  meV. The next gener-  
57 ation of  $0\nu\beta\beta$  decay experiments ] [3] seek to be sensitive enough to detect or rule out  $0\nu\beta\beta$  decay  
58 down to  $m_{\beta\beta} \lesssim 10$  meV. This will require a detector to instrument roughly a ton of active isotope  
59 with good energy resolution and a near zero background.

60 Liquid scintillator-based detectors have proven to be a competitive technology [6], and offer  
61 the advantage of scalability to larger instrumented masses by dissolving larger amounts of the  
62 isotope of interest into the liquid scintillator (LS). This may allow scaling to 1 ton or more of  
63 isotope using detectors already in operation [7]. In a large LS detector, most backgrounds can be  
64 strongly suppressed through a combination of filtration of the LS to remove internal contaminants,

65 self-shielding to minimize the effects of external contaminants, and vetoes to reduce muon spalla-  
66 tion backgrounds. The dominant irreducible backgrounds are the standard model  $2\nu\beta\beta$  decay and  
67 electron scattering of neutrinos from  ${}^8\text{B}$  decays in the sun.

68 In a previous work [8] we have shown that large-area photo-detectors with timing resolution  
69 of  $\sim 100$  ps can be used to resolve prompt Cherenkov photons from the slower scintillation signal  
70 in a large LS detector, and the resulting distributions can be fit for the directions and origin of  
71  $\sim \text{MeV}$  electrons. Here we present a study of applying this technique to the topological separation  
72 of  $0\nu\beta\beta$ -decay signal and  ${}^8\text{B}$  background, using a spherical harmonic decomposition to analyze  
73 the distribution of early (and hence weighted toward Cherenkov photons) photo-electrons as a  
74 topological discriminant.

75 The organization of the paper is as follows. Section 2 describes the detector model. Details on  
76 event kinematics and PE timing for signal and background are given in Section 3 In Section 4, we  
77 introduce the spherical harmonic decomposition, and discuss the performance of this analysis in  
78 Section 5. The conclusions are summarized in Section 6.

## 79 **2. Detector Model**

80 We use the Geant4-based simulation of Ref. [8] to model a sphere of 6.5 m radius filled with  
81 liquid scintillator. We consequently limit the discussion of the simulation to a summary of the  
82 most relevant parameters.

83 The scintillator composition has been chosen to match a KamLAND-like scintillator[10]. The  
84 composition is 80% n-dodecane, 20% pseudocumene and 1.52 g/l PPO with a density of  $\rho =$   
85 0.78 g/ml). We use the Geant4 default liquid scintillator optical model, in which optical pho-  
86 tons are assigned the group velocity in the wavelength region of normal dispersion. The attenua-

87 tion length[11], scintillation emission spectrum[11], and refractive index[12] include wavelength-  
88 dependence. The scintillator light yield is assumed to be 9030 photons/MeV) with Birks quench-  
89 ing ( $kB \approx 0.1$  mm/MeV)[13]. However, we deviate from the baseline KamLAND case in that the  
90 re-emission of absorbed photons in the scintillator bulk volume and optical scattering, specifically  
91 Rayleigh scattering, have not yet been included. A test simulation shows that the effect of optical  
92 scattering is negligible [8].

93 The technique of using Cherenkov light for topological  $^8\text{B}$  background rejection depends on  
94 the inherent time constants that (on average) slow scintillation light relative to the Cherenkov light  
95 for wavelengths longer than the scintillator absorption cutoff (between 360-370 nm [14]). The first  
96 step in the scintillation process is the transfer of energy deposited by the primary particles from  
97 the scintillator's solvent to the solute. The time constant of this energy transfer accounts for a rise  
98 time in scintillation light emission. Because past neutrino experiments were not highly sensitive  
99 to the effect of the scintillation rise time, there is a lack of accurate measurements of this property.  
100 We assume a rise time of 1.0 ns from a re-analysis of the data in Ref. [15] but more detailed studies  
101 are needed.

102 The decay time constants are determined by the vibrational energy levels of the solute and  
103 are measured to be  $\tau_{d1} = 6.9$  ns and  $\tau_{d2} = 8.8$  ns with relative weights of 0.87 and 0.13 for the  
104 KamLAND scintillator [16]. In a detector of this size, chromatic dispersion, red light traveling  
105 faster than blue due to the wave-length dependent index of refraction, enhances the separation but  
106 is not the dominant effect.

107 The inner sphere surface is used as the photodetector. It is treated as fully absorbing with  
108 no reflections, and with 100% photocathode coverage [18]. As in the case of optical scattering,

109 reflections at the sphere are a small effect that would create a small tail at longer times, and hence  
110 not affect the identification of the early Cherenkov light. The assumed quantum efficiency (QE)  
111 of is that of a typical bialkali photocathode (Hamamatsu R7081 PMT [17], see also Ref. [19]).  
112 We note that the KamLAND 17-inch PMTs use the same photocathode type with similar quantum  
113 efficiency; photocathodes with higher efficiencies are now starting to become better understood  
114 theoretically and may become commercially available [20, 21, 22]. In order to neglect the effect  
115 of the transit-time-spread (TTS) of the photodetectors, we use a TTS of 0.1 ns ( $\sigma$ ), which can be  
116 achieved with large area picosecond photodetectors (LAPPDs) [23, 24, 25, 26, 27, 28, 29, 30]. We  
117 neglect the (small) threshold effects in the photodetector readout electronics, spatial resolution of  
118 the photoelectron hit positions, and contributions to time resolution other than the photodetector  
119 TTS.

### 120 **3. Kinematics and Timing of Signal and Background events**

#### 121 *3.1. Kinematics of the $0\nu\beta\beta$ -decay signal*

122 We simulate the kinematics of  $0\nu\beta\beta$  events using a custom Monte Carlo [31] with momentum  
123 and angle-dependent phase space factors for  $0\nu\beta\beta$  decay [32]. The spectrum in kinetic energy of  
124 the electrons in  $0\nu\beta\beta$  decays of  $^{130}\text{Te}$  is shown in Figure 1.

125 The distribution in  $\cos(\theta)$  between the two electrons is presented in the left-hand panel of Fig. 2  
126 (solid line), showing the preference towards a back-to-back topology. The energy sharing between  
127 the electrons peaks at an equal split, as shown in the right-hand panel of Fig. 2 (solid line).

#### 128 *3.2. Comparison to SM $2\nu\beta\beta$ decay*

129 Figure 2 also shows the angular separation and energy sharing of the two electrons in SM  
130  $1\nu\beta\beta$  events with the total kinetic energy of the electrons above 90% of the Q-value, found using  
131 the same Monte Carlo generator but with SM phase space factors [32]. As seen from the plot, the

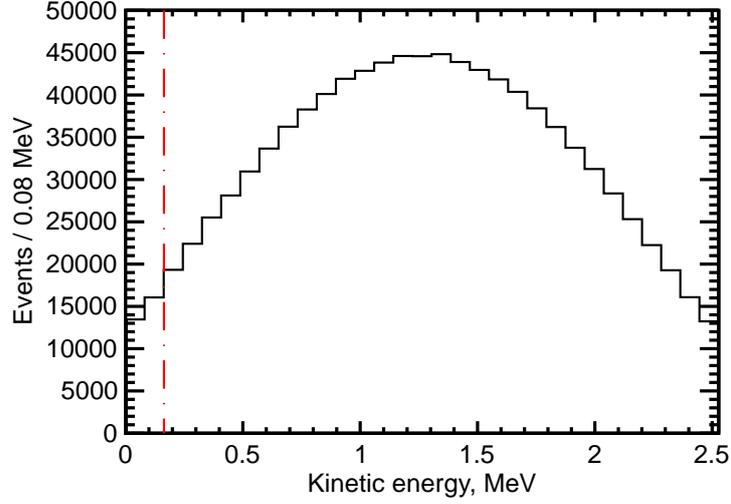


Figure 1: The spectrum in kinetic energy of the electrons in  $0\nu\beta\beta$  decays of  $^{130}\text{Te}$  (endpoint 2.53 MeV). The vertical dashed line indicates the Cherenkov threshold in the liquid scintillator of the detector model. Single electrons from  $^8\text{B}$  solar neutrinos that are potential background to the  $0\nu\beta\beta$  search are close in energy to the endpoint and will be above the Cherenkov threshold.

132 electron angular correlations for  $0\nu\beta\beta$ -decay are slightly more back-to-back than those from  $2\nu\beta\beta$ -  
 133 decay due to a contribution from the neutrino wave-functions even at vanishingly small energies  
 134 of the neutrinos [32]. The energy sharing is essentially identical.

### 135 3.3. Production and Selection of Cherenkov light by electrons from $^{130}\text{Te}$ $0\nu\beta\beta$ decays

136 Figure 1 also shows the threshold for the production of Cherenkov light. Examining the kine-  
 137 matics for one of the electrons from  $^{130}\text{Te}$   $0\nu\beta\beta$  decay with an equal energy split, the 1.26 MeV  
 138 electron travels on average a total path length of  $7.1\pm 0.9$  mm, has a distance from the origin of  
 139  $5.6\pm 1.0$  mm in  $26\pm 4$  ps and takes  $24\pm 3$  ps to drop below Cherenkov threshold. We note that  
 140 due to scattering of the electron, the final direction of the electron before it stops does not corre-  
 141 spond to the initial direction; however the scattering angle is small at the time that the majority of  
 142 Cherenkov light is produced.

143 Figure 3 shows distributions from the detector simulation for 1000  $^{130}\text{Te}$   $0\nu\beta\beta$ -decay events  
 144 at the center of the detector. The left-hand panel compares the time of photoelectron (PE) arrival

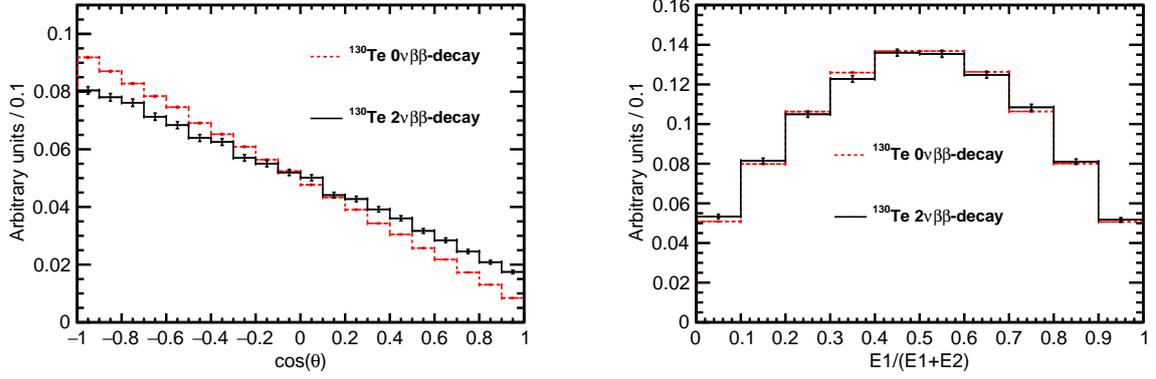


Figure 2: *Left*: The distribution in the cosine of the angle between the two electrons for  $0\nu\beta\beta$  decays (solid black line). *Right*: The fraction of the total energy carried by one of the two electrons in  $0\nu\beta\beta$  decays (solid black line). In both panels the dashed red line is the corresponding distribution for SM  $2\nu\beta\beta$  events with the total kinetic energy of the electrons above 90% of the Q-value.

145 at a photodetector anode for Cherenkov and scintillation light, assuming a transit-time spread  
 146 (TTS) [33] in the photodetector of 100 ps. A selection of the PEs with relatively small arrival time  
 147 creates a sample with a high fraction of directional Cherenkov light, designated as the ‘early PE’  
 148 sample.

149 The right-hand panel shows the composition of the early PE sample, selected with a time cut  
 150 of 33.5 ns (vertical line on plot). On average each  $^{130}\text{Te}$   $0\nu\beta\beta$ -decay produces  $62.8\pm 0.3$  PEs in  
 151 the early PE sample, with an RMS width of 8.9 PEs from event-by-event fluctuations. On average  
 152 the early PE sample consist of  $28.6\pm 0.2$  scintillation PEs and  $34.2\pm 0.2$  Cherenkov PEs, with RMS  
 153 distribution widths of 5.2 and 7.3 PEs respectively.

#### 154 3.4. $^8\text{B}$ solar neutrino background

155 For a detector similar to our model,  $^8\text{B}$  solar neutrino background is significant due to the large  
 156 total mass of the liquid scintillator in the active region. Electrons from elastic scattering of  $^8\text{B}$  solar  
 157 neutrinos have nearly a flat energy spectrum around the Q-value [34]. We simulate  $^8\text{B}$  background  
 158 as a single monochromatic electron with energy of 2.53 MeV (Q-value of  $^{130}\text{Te}$ ). A 2.53 MeV  
 159 electron travels a total path length of  $15.5\pm 2.0$  mm, has a distance from the origin of  $12.6\pm 2.2$  mm

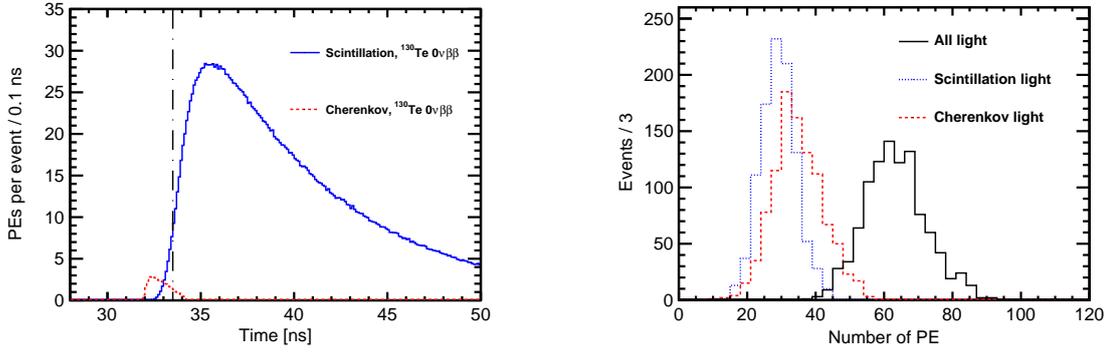


Figure 3: *Left*: Photo-electron (PE) arrival times after application of the photo-detector transit time spread (TTS) of 100 ps for the default simulation of  $^{130}\text{Te } 0\nu\beta\beta$ -decay produced at the center of the detector. Scintillation PEs (blue solid line) are compared to Cherenkov PEs (red dotted line). The vertical line at 33.5 ns indicates the time cut for the selection of the early PE sample. *Right*: Composition of the early PE sample (to the left of the vertical line in the left-hand panel): the number of Cherenkov (dashed red line), scintillation (dotted blue line), and total (solid black line) PEs per event.

160 in  $55 \pm 7$  ps and takes  $49 \pm 2$  ps to drop below Cherenkov threshold.

161 The shape of scintillation and Cherenkov PE timing distributions in  $^8\text{B}$  events match very  
 162 closely the shape of corresponding distributions for  $0\nu\beta\beta$ -decay events shown in Fig. 3. The elec-  
 163 tron path length is too short compared to the detector size to introduce any noticeable difference  
 164 in the shape of PE timing distributions between a single electron from  $^8\text{B}$  events and two electrons  
 165 from  $0\nu\beta\beta$ -events.

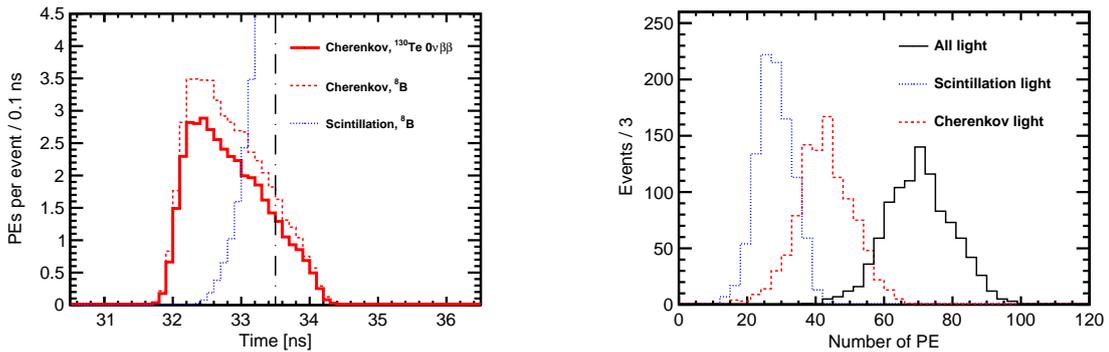


Figure 4: *Left*: A ‘zoomed-in’ view of the photo-electron (PE) arrival times of signal and background events produced at the center of the detector. The distribution in time for Cherenkov PE’s from  $^{130}\text{Te } 0\nu\beta\beta$  is shown in solid black; Cherenkov PE’s from  $^8\text{B}$  solar neutrino background are shown in dashed red. PE’s from scintillation are shown as the blue solid line. The line at 33.5 ns indicates the cut for the early PE sample selection. *Right*: Composition of the early PE sample: the number of Cherenkov PEs (dashed red line), scintillation PEs (dotted blue line), and total (solid black line) PEs per event.

166 On average each  $^8\text{B}$  neutrino event produces  $69.9 \pm 0.3$  PEs in the early PE sample, with an

167 RMS distribution width of 9.7 PEs due to event-by-event fluctuations. On average the early PE  
168 sample consist of  $27.6 \pm 0.2$  scintillation and  $42.3 \pm 0.3$  Cherenkov PEs, with event-by-event fluctu-  
169 ations contributing an RMS width of 5.2 and 8.2 PEs, respectively. The total energy deposited in  
170 the detector in  $^8\text{B}$  solar neutrino and  $0\nu\beta\beta$ -decay events is the same. This leads to nearly the same  
171 amount of scintillation light produced in the detector.

172 The number of Cherenkov photons is  $\sim 10\%$  higher for  $^8\text{B}$  neutrino events compared to  $0\nu\beta\beta$ -  
173 decay events. This is because Cherenkov light in  $^8\text{B}$  neutrino interactions is being produced  
174 by a single electron, while the same kinetic energy is split between two electrons in  $0\nu\beta\beta$ -decay  
175 events <sup>1</sup>.

#### 176 4. Event Topology and the Spherical Harmonics Analysis

177 We have developed a method based on a spherical harmonics decomposition to discriminate the  
178 topologies of  $0\nu\beta\beta$ -decay two-electron events and  $^8\text{B}$ -neutrino single-electron events. The identi-  
179 fication of the Cherenkov photon clusters is challenging due to the smearing of the characteristic  
180 ring pattern by multiple scattering of the electrons, and by the smallness of the Cherenkov signal  
181 relative to the large amount of uniformly-distributed scintillation light. We find that performing  
182 the spherical harmonics analysis on the smaller early PE sub-sample, which has a relatively high  
183 fraction of Cherenkov PEs, can discriminate  $0\nu\beta\beta$ -decay signal events from backgrounds, although  
184 a high rejection factor will require a slower scintillator than in the model.

##### 185 4.1. Topology of $0\nu\beta\beta$ -decay and $^8\text{B}$ Events

186 With  $^{130}\text{Te}$  as the active isotope, all background from  $^8\text{B}$  solar neutrinos will have the single  
187 electron above Cherenkov threshold in the liquid scintillator. Also a large fraction of  $0\nu\beta\beta$ -decay

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<sup>1</sup>We do not use the small difference in the total number of PEs in the early PE sample due to the Cherenkov PE contribution to separate  $0\nu\beta\beta$ -decay signal from  $^8\text{B}$  background. However, it may provide an extra handle on signal-background separation in a multivariate analysis when combined with directional and topographical information.

188 signal events will have both electrons above Cherenkov threshold.

189 In some cases only one Cherenkov cluster is produced in  $0\nu\beta\beta$ -decay signal events. This hap-  
190 pens either when the angle between the two  $0\nu\beta\beta$ -decay electrons is small and Cherenkov clusters  
191 overlap, or when the energy split between electrons is not balanced, causing one electron to be  
192 below Cherenkov threshold. Such signal events cannot be separated from background based on  
193 the topology of the distribution of Cherenkov photons on the detector surface. However, the di-  
194 rectionality of the electron that is above Cherenkov threshold can still be reconstructed. This  
195 directionality information may allow for suppression of  ${}^8\text{B}$  events based on the position of the  
196 sun [35].

197 For the purpose of illustration of the spherical harmonics analysis concept we first consider  
198 two distinct topologies: a) two electrons produced back-to-back at an  $180^\circ$  angle; and b) a single  
199 electron. Figure 5 shows an idealized simulation of these two topologies for a total electron energy  
200 of 2.53 MeV. In order to emphasize ring patterns formed by Cherenkov photons the electron mul-  
201 tiple scattering process is turned off in this idealized simulation and a photocathode QE of 30%  
202 is used for both Cherenkov and scintillation photons. Here the single-electron event represents an  
203 idealized  ${}^8\text{B}$  event topology and the two-electron events represent two special cases of an idealized  
204  $0\nu\beta\beta$ -decay topology.

#### 205 4.2. Description of the Spherical Harmonics Analysis

206 The central strategy of the spherical harmonics analysis is to construct rotationally invariant  
207 variables that can be used to separate different event topologies. To account for the fluctuation of  
208 the number of PEs from event to event, we use a normalized power,  $S_l$ , defined in Appendix A.

209 The bottom panel in Fig. 5 compares the normalized power spectra for the two representative  
210 event topologies in the idealized case of no multiple scattering and with a 30% quantum efficiency

211 for both Cherenkov and scintillation photons [36]. The method gives a good separation between  
212 the two event topologies.

213 However, at energies relevant to  $0\nu\beta\beta$ -decay the Cherenkov rings become very fuzzy due to  
214 electron multiple scattering. In most cases  $\sim 1$  MeV electrons produce randomly shaped clusters  
215 of Cherenkov photons around the direction of the electron track. Examples of  $^{130}\text{Te}$   $0\nu\beta\beta$  and  
216  $^8\text{B}$  events simulated with multiple scattering but still at the center of the detector are shown in  
217 Fig. 6.  $^{130}\text{Te}$  events are generated based on the phase factors described in [37].  $^8\text{B}$  events are  
218 implemented as monochromatic electrons with the initial direction along the  $x$ -axis. The default  
219 QEs of  $xx$  for Cherenkov light and  $xx$  for scintillation light have been applied. Figure 6 shows  
220 early PEs that pass the 33.5 ns time cut.

221 In this more realistic example, the uniformly distributed scintillation light makes it difficult to  
222 visually distinguish the event topology. The power spectra shown in the bottom panel of Fig. 6 are  
223 different only at  $l=0$  and  $l=1$ . We use this difference to separate  $0\nu\beta\beta$ -decay signal from  $^8\text{B}$  back-  
224 ground events.

225 We find that  $0\nu\beta\beta$  events become indistinguishable from single-track events when the angle be-  
226 tween the two electrons is small and two Cherenkov clusters overlap. Event topologies of  $0\nu\beta\beta$  and  
227  $^8\text{B}$  events are also very similar when only one electron from  $0\nu\beta\beta$  is above the Cherenkov thresh-  
228 old. The spherical harmonics analysis is most efficient for events with large angular separation  
229 between the two electrons and when both electrons are above Cherenkov threshold [38].

## 230 **5. Performance of the Spherical Harmonics Analysis in Separating $0\nu\beta\beta$ -decay from $^8\text{B}$ Background.**

231 The separation of signal and background comes almost entirely from the first two multiple  
232 moments,  $l = 0$  and  $l = 1$ . However, higher multiple moments are needed for the event-by-event

233 normalization of the power spectrum  $S_l$  (Eq. 7). In the following we choose to calculate the power  
 234 spectrum  $s_l$  up to  $l=3$  and use only the normalized variables  $S_0$  and  $S_1$ , where the normalization is  
 235 given by

$$S_{0,1} = \frac{s_{0,1}}{\sum_{l=0}^3 s_l} \quad (1)$$

236 As discussed below, a linear combination of  $S_0$  and  $S_1$  can be used to construct a single dis-  
 237 criminant,  $S_{01}$ . Distributions of  $S_{01}$  for  $0\nu\beta\beta$  and  ${}^8\text{B}$  events can be used to optimize detector design  
 238 parameters, as described below.

#### 239 5.1. Central events with no uncertainty on the vertex position

240 To illustrate the technique, we initially evaluate the performance of the spherical harmonics  
 241 analysis in the idealized case of events at the center of the detector with perfect reconstruction of  
 242 the event vertex position. For such events, a time cut of 33.5 ns on the PE arrival time can be  
 243 applied to obtain an ‘early PE’ sample that contains a high fraction of Cherenkov PEs. The default  
 244 QE of xx for Cherenkov light and xx for scintillation light and xx% photo-coverage are used in  
 245 the simulation.

246 A comparison of  $S_0$  and  $S_1$  distributions for  $0\nu\beta\beta$ -decay signal and  ${}^8\text{B}$  background events is  
 247 shown in Fig. 7. Both variables provide a noticeable separation between signal and background.  
 248 We note that in the energy range of interest, the  $S_l$ ’s do not strongly depend on the energy deposited  
 249 in the detector, i.e. information contained in the normalized power spectrum is complimentary to  
 250 the energy measurements.

251 The left-hand panel in Fig. 8 compares scatter plots of the first two components of the power  
 252 spectrum,  $S_0$  and  $S_1$ , for signal and background. In order to illustrate the separation between  ${}^{130}\text{Te}$

253 and  $^8\text{B}$  events, a linear combination of variables  $S_0$  and  $S_1$  is constructed as follows <sup>2</sup>.

254 First, a linear fit to  $S_0 = A \cdot S_1 + B$ , of all points on the scatter plot is performed, as shown  
255 by the dashed line in the left-hand panel in Fig. 8. A 1-dimensional (1-D) variable  $S_{01}$  is defined  
256 as  $S_{01} = S_1 \cdot \cos(\theta) + S_0 \cdot \sin(\theta)$ , where  $\tan(\theta)=A$ . The right-hand panel in Fig. 8 compares  
257 distributions of  $S_{01}$  for  $0\nu\beta\beta$ -decay signal and  $^8\text{B}$  background. These 1-D histograms for  $S_{01}$   
258 represent the projection of the points on the scatter plot onto the fitted line.

259 To quantify the separation between the signal and background we calculate the area of the  
260 overlap in the  $S_{01}$  distributions,  $I_{overlap}$ . There is no separation if  $I_{overlap}=1$  and there is a 100%  
261 separation if  $I_{overlap}=0$ . Figure 8 shows the separation of this simple algorithm based on the shape  
262 of the early PE sample; the overlap between signal and background is  $I_{overlap}=0.52$ . At an efficiency  
263 for the signal of 70% we find a rejection factor of 4.6.

## 264 5.2. Experimental challenges: chromatic dispersion and vertex resolution

265 Samples of 1000 events each of signal and background were simulated with origins distributed  
266 throughout the whole fiducial volume of the detector with a vertex resolution, found from our  
267 earlier study of reconstruction, of 3 cm [8]. For the general case, even significantly delayed scin-  
268 tillation photons can reach the side of the detector that is closer to the vertex much earlier than  
269 Cherenkov photons traveling to the opposite side of the detector. The time cut thus has to take into  
270 account the total distance traveled by each individual photon.

271 In general, the  $S_1$  component of the spherical harmonics power spectrum is higher for asym-  
272 metric distributions and lower for symmetric distributions (e.g., compare the back-to-back and  
273 single electron topologies in Fig. ??). If a vertex is shifted in the direction opposite to the track

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<sup>2</sup>A multi-variate event-by-event analysis will have more discriminatory power than this simple 1-dimensional separation, but in the absence of a real detector is a waste of time [40]

274 of the electron, the differential time cut selects more scintillation photons that are emitted in the  
275 direction of the electron track. Scintillation photons would enhance the forward asymmetry of  
276 the early PE sample, which in turn would move  $S_1$  to higher values. Moreover,  $S_1 = 0$  for a  
277 distribution with perfect symmetry with respect to the center of the sphere. If a vertex is shifted  
278 in the same direction as the direction of the electron, the differential time cut selects more scintil-  
279 lation photons that are emitted in the direction opposite to the electron track. The asymmetry of  
280 Cherenkov PEs would then be counter-balanced by scintillation PEs, which in turn, would move  
281  $S_1$  to lower values.

### 282 5.3. Events in a fiducial volume with an uncertainty on the vertex position

283 We find that in the default detector model the separation power of the spherical harmonics  
284 analysis is significantly reduced when chromatic dispersion and vertex resolution are taken into  
285 account.

286 We simulated 1000 signal and background events that have their vertices uniformly distributed  
287 within a fiducial volume of  $R < 3$  m, where  $R$  is the distance between the event vertex and the  
288 center of the detector. To implement an uncertainty on the vertex reconstruction we apply a 3 cm  
289 smearing around the actual vertex position for each simulated event. The smearing is done along  
290  $x$ ,  $y$ , and  $z$  directions with three independent Gaussian distributions of the same width,  $\sigma_x = \sigma_y =$   
291  $\sigma_z = 3$  cm.

292 Figure 9 shows the performance of the spherical harmonics analysis under these more realistic  
293 assumptions. The overlap between signal and background is  $I_{overlap} = 0.79$ , which means that the  
294 separation is 52% worse than in an idealized scenario shown in Fig. 8. The spherical harmonics  
295 analysis brings little separation between signal and background in our default detector model after  
296 the chromatic dispersion and vertex resolution are taken into account. However, properties of the

297 liquid scintillator can be adjusted to improve the performance of the spherical harmonics analysis.

298 In the following we show that a single change in the scintillation rise time improves the separation.

#### 299 5.4. Importance of the liquid scintillator properties

300 The strong dependence on the vertex resolution can be addressed by choosing a liquid scintil-  
301 lator mixture with a more delayed emission of scintillation light with respect to Cherenkov light.

302 With a larger delay in scintillation light, a higher fraction of Cherenkov light can be maintained  
303 in the early PE sample even if a photon track length is mis-reconstructed due to imprecise recon-

304 struction of the vertex position. In addition, if the fraction of scintillation light is small compared  
305 to Cherenkov light, the distortions in the uniformity of the scintillation PE due to a shifted re-

306 constructed vertex position does not significantly affect the spherical harmonics power spectrum.  
307 Furthermore, the effects due to chromatic dispersion can be addressed by using liquid scintillators

308 with a narrower emission spectrum [41], or red-enhanced photocathodes [42].

309 While the default detector model assumes a scintillation rise time of  $\tau_r = 1$  ns, rise times up  
310 to  $\tau_r = 7$  ns can be achieved (see Ref. [43]). As a test we increased the scintillation rise time

311 parameter to  $\tau_r = 5$  ns in the detector model, with all other parameters kept the same. Figure 10  
312 shows the overlap between signal and background is significantly decreased to  $I_{overlap} = 0.64$ , i.e.

313 the separation is 23% worse than in the idealized scenario shown in Fig. 8 and 23% better than in  
314 the default detector model shown in Fig. 9.

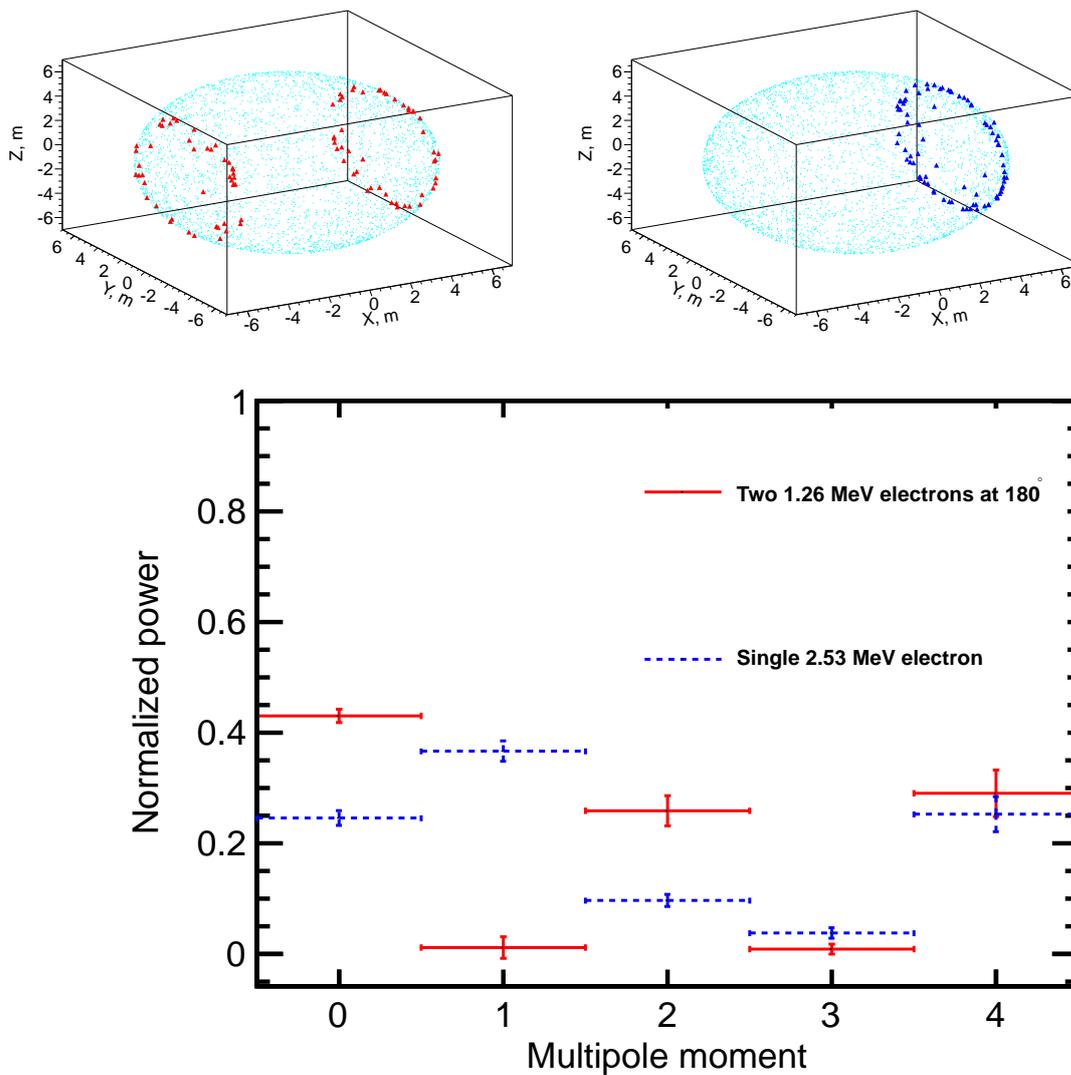


Figure 5: *Top panels:* Idealized event displays, with multiple scattering turned off and at the center of the detector, of: (*top left*) a signal event with two 1.26 MeV back-to-back electrons; and (*top right*) a  ${}^8\text{B}$ -neutrino background event with single 2.53 MeV electron. A 30% QE is assumed for both Cherenkov photons (triangles) and scintillation photons (dots). *Bottom panel:* The normalized power spectrum  $S_l$  for the Cherenkov photons only, calculated event-by-event for the two above topologies. The height of the rectangular boxes correspond to a 63% confidence level ( $\pm 1\sigma$ ).

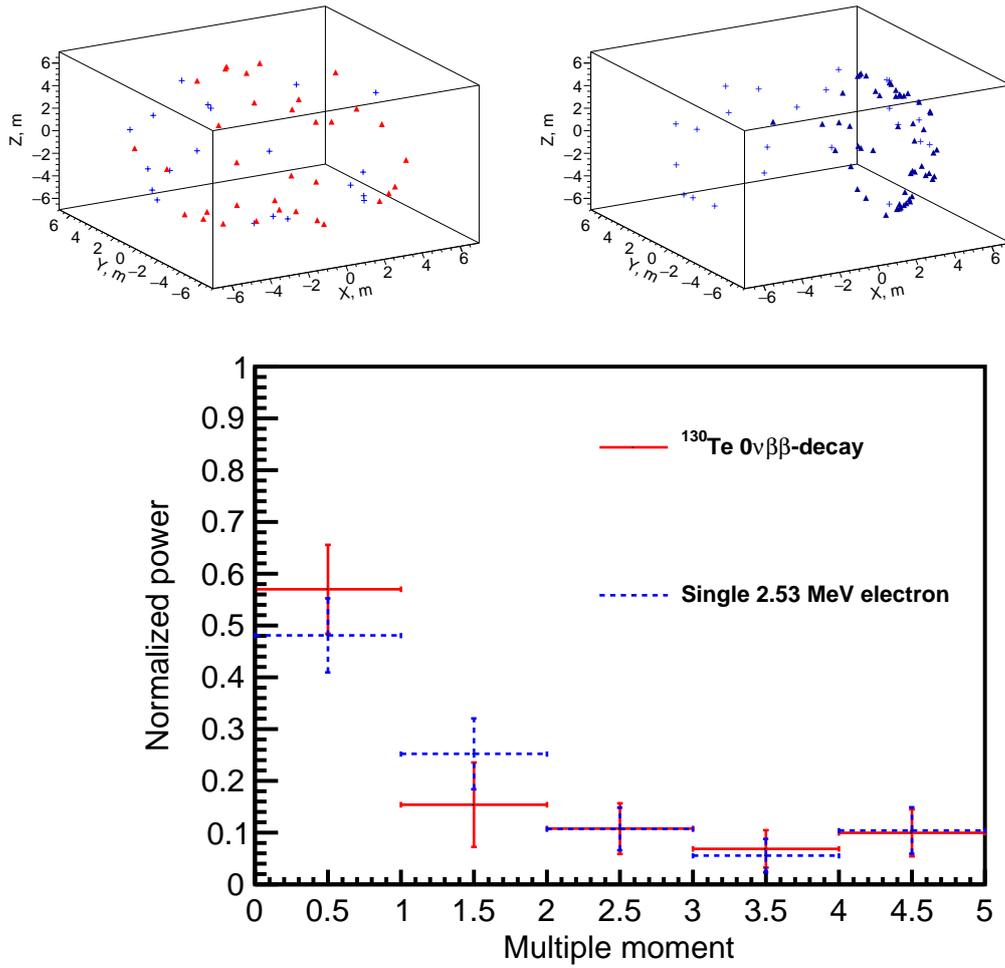


Figure 6: *Top panels*: Event displays with multiple scattering and at the center of the detector for: (*top left*) a signal event with two 1.26 MeV back-to-back electrons; and (*top right*) a  ${}^8\text{B}$ -neutrino background event with a single 2.53 MeV electron. The model QE's are assumed for both Cherenkov photons (triangles) and scintillation photons (dots). *Bottom panel*: The normalized power spectrum  $S_l$  for the Cherenkov photons, calculated event-by-event for 1000 events of each of the two above topologies. The heights of the rectangular boxes correspond to a 63% C.L. ( $\pm 1\sigma$ ).

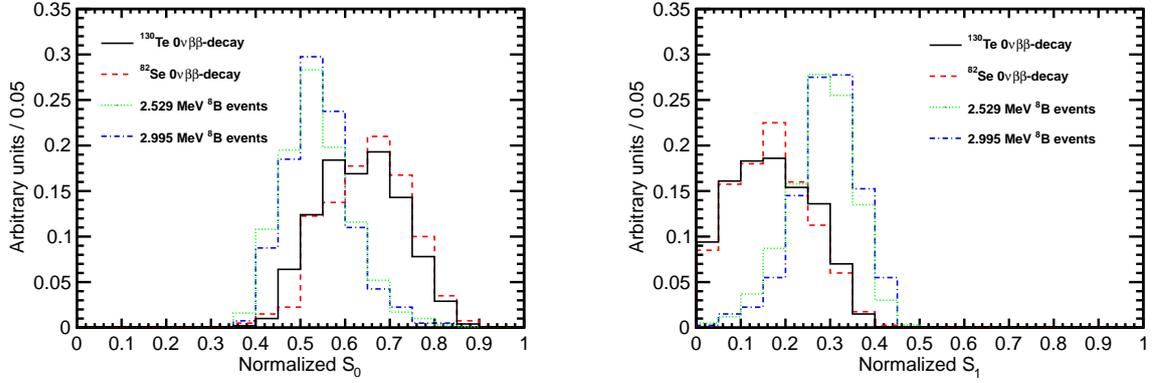


Figure 7: Results from the idealized case of central events at the detector origin (i.e. perfect vertex reconstruction); a time cut of 33.5 ns on the PE arrival time is applied. The default QE and xx% photo-coverage are used in the simulation. (Left)  $S_0$  and  $S_1$  (right) distributions for 1000 simulated  $0\nu\beta\beta$ -decay signal and  $^8\text{B}$  background events. Two different isotopes are compared,  $^{130}\text{Te}$  and  $^{82}\text{Se}$ . The corresponding kinetic energies of background  $^8\text{B}$  neutrino single electrons are 2.53 MeV and 3.00 MeV.

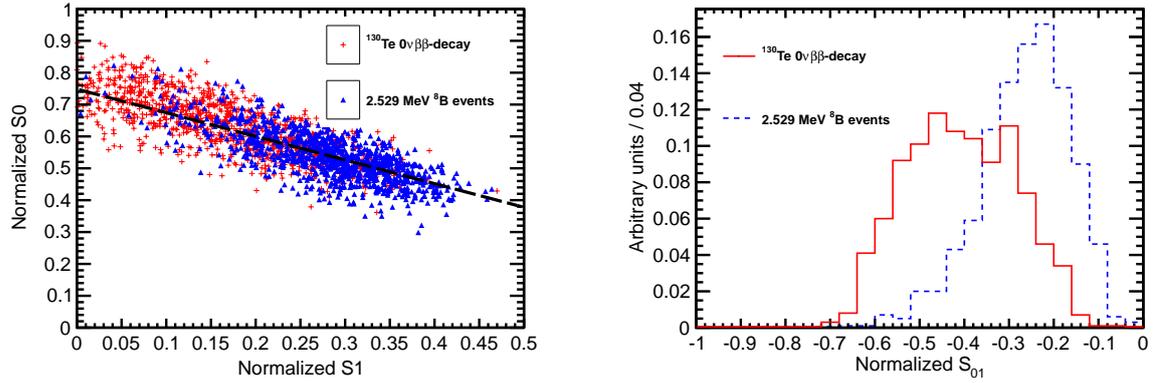


Figure 8: Left: Scatter plot of the moments  $S_0$  versus  $S_1$  for a simulation of 1000 signal (red crosses) and background (blue triangles), for the idealized case of central events assuming perfect reconstruction of the vertex position. A time cut of 33.5 ns on the PE arrival time is applied. The default QE and xx% photo-coverage is used in the simulation. The black dashed line corresponds to a linear fit for  $S_0$ . Right: Comparison of the  $S_{01}$  distribution between signal (red solid line) and background (blue dashed line).  $I_{\text{overlap}}=0.52$ .

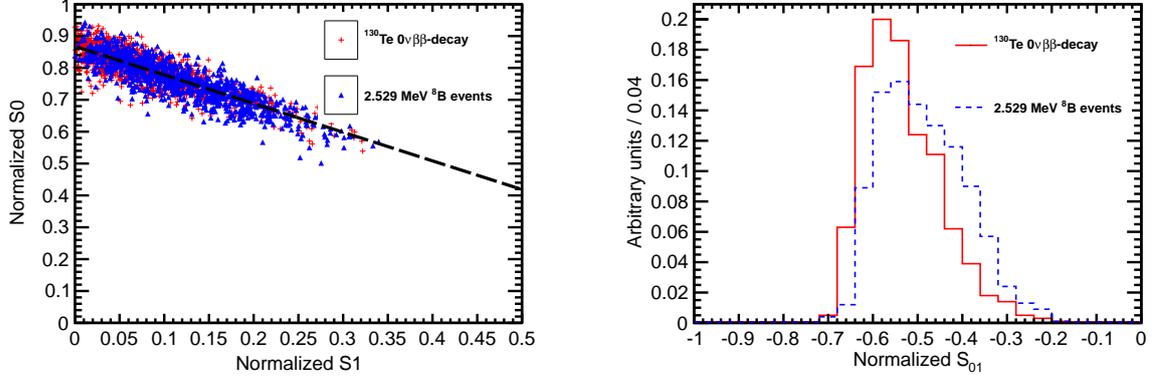


Figure 9: *Left*: Scatter plot of  $S_0$  versus  $S_1$  for a simulation of 1000 signal (*red crosses*) and background (*blue triangles*) events. Event vertices are uniformly distributed within the fiducial volume,  $R < 3$  m. The vertex is smeared with 3 cm resolution. A differential cut of  $\Delta t = t_{measured}^{phot} - t_{predicted}^{phot} < 1$  ns is applied to select the early PE sample. The default QE and xx% photo-coverage are used in the simulation. The black dashed line corresponds to a linear fit to define the 1-D variable  $S_{01}$ . *Right*: A comparison of the  $S_{01}$  distribution between signal (*red solid line*) and background (*blue dashed line*).  $I_{overlap}=0.79$ .

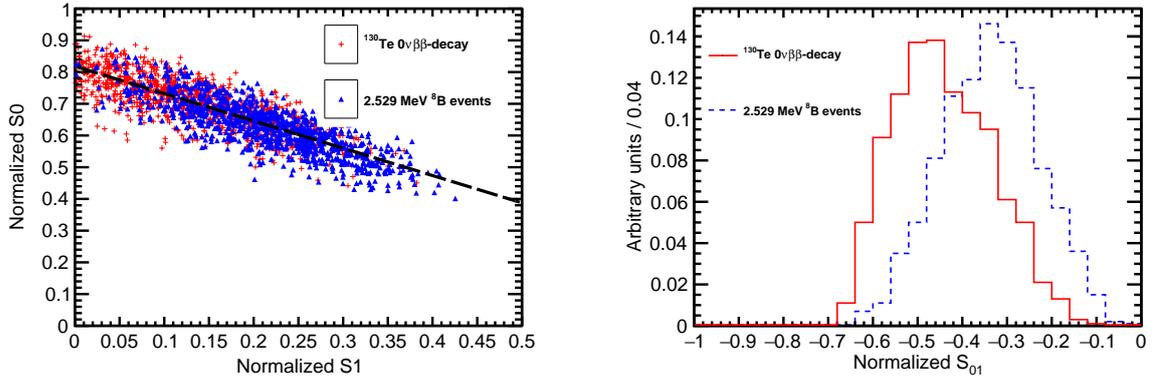


Figure 10: The scintillation rise time constant is increased to  $\tau_r = 5$  ns compared to  $\tau_r = 1$  ns in the default detector model. *Left*: Scatter plot of  $S_0$  versus  $S_1$  for a simulation of 1000 signal (*red crosses*) and background (*blue triangles*) events. Event vertices are uniformly distributed within the fiducial volume,  $R < 3$  m. Vertex is smeared with 3 cm resolution. Differential cut of  $\Delta t = t_{measured}^{phot} - t_{predicted}^{phot} < 1$  ns is applied to select early PE sample. The default QE and 100% photo-coverage is used in the simulation. Black dashed line corresponds to a linear fit to define 1-D variable  $S_{01}$  (see text for details). *Right*: Comparison of the  $S_{01}$  distribution between signal (*red solid line*) and background (*blue dashed line*).  $I_{overlap}=0.64$ .

315 Figure 11 shows the efficiency for  $0\nu\beta\beta$  signal and the rejection factor for  ${}^8\text{B}$  neutrino back-  
 316 ground for the default model (left-hand panel) and for the slower scintillator with a 5-ns risetime  
 317 (right-hand panel) as a function of the  $S_{01}$  discriminant. We find a rejection factor of 2 for the  
 318 default case at 70% efficiency for signal. The rejection is increased to a factor of 3 for the 5-nsec  
 319 risetime scintillator.

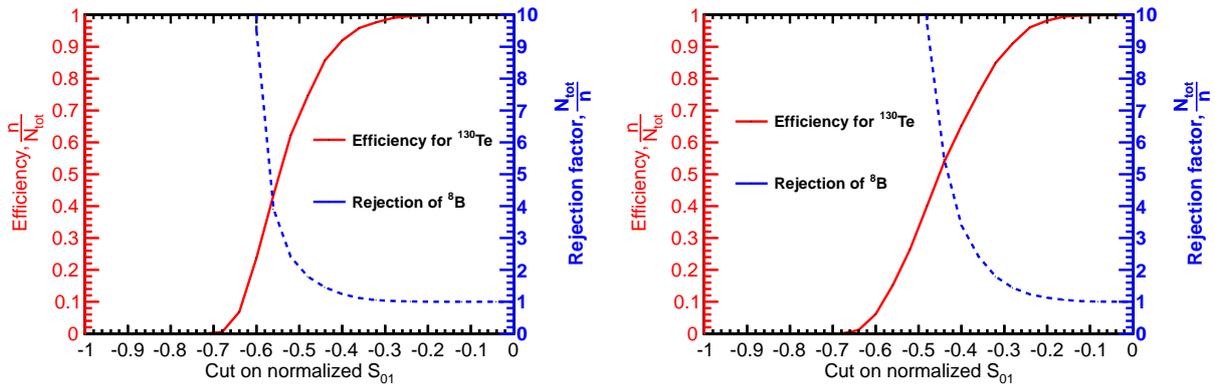


Figure 11: The efficiency for  $0\nu\beta\beta$  signal (left-hand scale) and the rejection factor for  ${}^8\text{B}$  neutrino background (right-hand scale) versus  $S_{01}$  for: *Left*: the default model; and *Right*: a liquid scintillator with a 5-nsec risetime.

## 320 6. Conclusions

321 We consider the use of large-area photodetectors with good time and space resolution in kiloton  
 322 scale liquid scintillator detectors to suppress background coming from  ${}^8\text{B}$  solar neutrino interac-  
 323 tions. Using a default model detector with parameters derived from present practice we show that  
 324 a sample of detected photons enriched in Cherenkov light by a cut on time-of-arrival contains di-  
 325 rectional information can be used to separate  $0\nu\beta\beta$  decay from  ${}^8\text{B}$  solar neutrino interactions. The  
 326 separation is based on a spherical harmonics analysis of the event topologies of the two electrons  
 327 in signal events and the single electron in the background. The performance of the technique is  
 328 constrained by chromatic dispersion, vertex reconstruction, and the time profile of the emission of  
 329 scintillation light. The development of a scintillator with a rise time constant of at least 5 ns would

330 allow a Cherenkov-scintillation light separation with a background rejection factor for  ${}^8\text{B}$  solar  
331 neutrinos of  $xx$  and an efficiency for  $0\nu\beta\beta$  signal of  $xx\%$ .

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342 on Cherenkov/scintillation light separation. We thank E. Spieglan for productive discussions on  
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344 and time resolution on the vertex reconstruction and verifying the effects of chromatic dispersion.  
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347 **7. Appendix A**

348 *7.1. Defining the Power Spectrum*

349 Let the function  $f(\theta, \phi)$  represent the distribution of the photo-electrons (PE) on the detector  
 350 surface. The function  $f(\theta, \phi)$  can be decomposed into a sum of spherical harmonics:

$$f(\theta, \phi) = \sum_{l=0}^{\infty} \sum_{m=-l}^l f_{lm} Y_{lm}(\theta, \phi), \quad (2)$$

351 where  $Y_{lm}$  are Laplace's spherical harmonics defined in a real-value basis using Legendre poly-  
 352 nomials  $P_l$  [44]:

$$Y_{lm} = \begin{cases} \sqrt{2} N_{lm} P_l^m(\cos\theta) \cos m\phi, & \text{if } m > 0 \\ N_{lm} = \sqrt{\frac{(2l+1)(l-m)!}{4\pi(l+m)!}}, & \text{if } m = 0 \\ \sqrt{2} N_{l|m|} P_l^{|m|}(\cos\theta) \sin |m|\phi, & \text{if } m < 0 \end{cases} \quad (3)$$

353 where the coefficients  $f_{lm}$  are defined as

$$f_{lm} = \int_0^{2\pi} d\phi \int_0^{\pi} d\theta \sin\theta f(\theta, \phi) Y_{lm}(\theta, \phi). \quad (4)$$

354 Equation 5 defines the power spectrum of  $f(\theta, \phi)$  in the spherical harmonics representation,  $s_l$ ,  
 355 where  $l$  is a multiple moment. The power spectrum,  $s_l$ , is invariant under rotation. It is unique to  
 356 each of the functions  $f_i(\theta, \phi)$ ,  $i = 1, 2, 3, \dots$ , which cannot be transformed into each other by rotation.

$$s_l = \sum_{m=-l}^{m=l} |f_{lm}|^2 \quad (5)$$

357 The event topology in a spherical detector determines the distribution of the PE's on the detector  
 358 sphere, and, therefore, a set of  $s_l$ 's. These values can serve as a quantitative figure of merit for  
 359 different event topologies. The rotation invariance of the  $s_l$ 's ensures that this figure of merit does  
 360 not depend on the orientation of the event with respect to the chosen coordinate frame.

361 The sum of  $s_l$ 's over all multiple moments equals to the  $L^2$  norm of the function  $f(\theta, \phi)$ :

$$\sum_{l=0}^{\infty} s_l = \int_{\Omega} |f(\theta, \phi)|^2 d\Omega. \quad (6)$$

362 The normalized power spectrum is thus:

$$S_l = \frac{s_l}{\sum_{l=0}^{\infty} s_l} = \frac{s_l}{\int_{\Omega} |f(\theta, \phi)|^2 d\Omega}, \quad (7)$$

363 and can be used to compare the shapes of various functions  $f(\theta, \phi)$  with different normaliza-  
 364 tions. As the total number of PEs detected on the detector sphere fluctuates from event to event  
 365 we use the normalized power  $S_l$ .

### 366 7.2. Spherical Harmonics Analysis and Off-center Events

367 In general, the power spectrum  $S_l$  is rotation invariant for a given topology only if events  
 368 originate in the center of the detector. In order to compare the spherical harmonics for events  
 369 with vertices away from the center, a coordinate transformation for each photon hit is needed.  
 370 The necessary transformation applied for each PE within an event is illustrated in Fig. 12. The  
 371 solid circle in Fig. 12 has a radius  $R$  and shows the actual detector boundaries. The dotted circle  
 372 shows a new sphere with the same radius  $R$ , which now has the event vertex in its center. The  
 373 radius vector of each PE is stretched or shortened to its intersection with this new sphere using the

374 transformation,  $\vec{r}_{PE}^* = \frac{\vec{d}}{|\vec{d}|} \cdot R$ , where  $\vec{r}_{PE}^*$  is a new radius vector of a PE and  $\vec{d} = \vec{r}_{PE} - \vec{r}_{vtx}$  with  $\vec{r}_{PE}$   
 375 and  $\vec{r}_{vtx}$  being radius vectors of the PE and the vertex in the original coordinates, respectively.

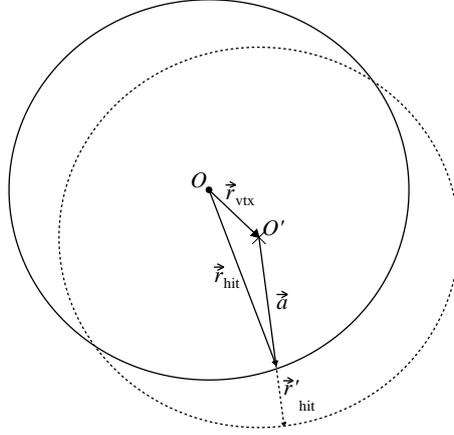


Figure 12: The coordinate transformation which is applied to events that are off-center. The solid circle schematically shows the actual detector boundaries. The dotted circle shows a new sphere of radius  $R=6.5$  m with the event vertex position in the center. The radius vector of each photon hit is stretched or shortened until the intersection with this new sphere using the transformation  $\vec{r}_{hit}^* = \frac{\vec{d}}{|\vec{d}|} \cdot R$ , where  $\vec{r}_{hit}^*$  is a new radius vector of the photon hit,  $R$  is detector sphere radius, and  $\vec{d} = \vec{r}_{hit} - \vec{r}_{vtx}$  with  $\vec{r}_{hit}$  and  $\vec{r}_{vtx}$  being the radius vectors of the photon hit and vertex position in original coordinates, respectively.

### 376 7.3. Implementation of the spherical harmonics analysis

377 The numerical calculation of the power spectrum is implemented as follows. For each event, a  
 378 2-D histogram of the distribution of PEs on the detector surface in  $\theta$  vs  $\phi$  is created. We then treat  
 379 this histogram as a function  $f(\theta, \phi)$ , where the value of the function for any pair of  $\theta$  and  $\phi$  is equal  
 380 to the number of PE's in the histogram bin corresponding to that pair.

381 The coefficients  $f_{lm}$  from Eq. 4 are calculated using a Monte Carlo integration technique. The  
 382 values of the  $S_l$  moments are calculated using Eqs.5 - 7.

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425 [38] Being able to distinguish between two-tracks and single-track events using the spherical analysis can allow further cuts to be made. For  
426 example, one might use absolute directional information to suppress single track events where the direction of the track is consistent with the  
427 location of a known background such as the sun. Once a single track topology is established, one can use a centroid method (see Ref. [39])  
428 to reconstruct directionality of the track (or two degenerate tracks) in order to suppress events that are aligned with the direction of  $^8\text{B}$  solar  
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